

### Annexure – B

**Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites**

#### Data for month ending Dec 2025

| S<br>N | Received from                 | Carried<br>forward<br>from<br>previous<br>month | Received<br>during the<br>month | Total<br>Pending | Resolved* | Pending at the end<br>of the month**    |   | Average<br>Resolution<br>time (in<br>days) |
|--------|-------------------------------|---|---------------------------------|------------------|-----------|---|---|--|
|        |                               |   |                                 |                  |           | Pending<br>for less<br>than 3<br>months | Pending<br>for more<br>than 3<br>months |  |
| 1      | 2                             | 3   | 4                               | 5                | 6         | 7                                       |   | 8  |
| 1      | Directly from<br>Investors    | -   | -                               | -                | -         | -                                       | -                                       | -  |
| 2      | SEBI (SCORES<br>2.0)          | -   | -                               | -                | -         | -                                       | -                                       | -  |
| 3      | Stock Exchanges               | -   | -                               | -                | -         | -                                       | -                                       | -  |
| 4      | Other<br><br>Sources (if any) | -   | -                               | -                | -         | -                                       | -                                       | -  |
| 5      | Grand Total                   | -   | -                               | -                | -         | -                                       | -                                       | -  |

### Trend of monthly disposal of complaints

| SN | Month            | Carried forward from previous month | Received | Resolved* | Pending** |
|----|------------------|-------------------------------------|----------|-----------|-----------|
| 1  | 2                | 3                                   | 4        | 5         | 6         |
| 1  | April - 2025     | -                                   | -        | -         | -         |
| 2  | May - 2025       | -                                   | -        | -         | -         |
| 3  | June - 2025      | -                                   | -        | -         | -         |
| 4  | July - 2025      | -                                   | -        | -         | -         |
| 5  | August - 2025    | -                                   | -        | -         | -         |
| 6  | September - 2025 | -                                   | -        | -         | -         |
| 7  | October - 2025   | -                                   | -        | -         | -         |
| 8  | November - 2025  | -                                   | -        | -         | -         |
| 9  | December - 2025  | -                                   | -        | -         | -         |
| 10 | January – 2026   |                                     |          |           |           |
| 11 | February – 2026  |                                     |          |           |           |
| 12 | March - 2026     |                                     |          |           |           |
|    | Grand Total      | -                                   | -        | -         | -         |

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

### Trend of annual disposal of complaints

| SN | Year               | Carried forward from previous year | Received During the year | Received During the year | Pending at the end of the year |
|----|--------------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1  | 2021-22            | -                                  | -                        | -                        | -                              |
| 2  | 2022-23            | -                                  | -                        | -                        | -                              |
| 3  | 2023-24            | -                                  | -                        | -                        | -                              |
| 4  | 2024-25            | -                                  | -                        | -                        | -                              |
| 5  | 2025-26            | -                                  |                          |                          |                                |
|    | <b>Grand Total</b> | 0                                  | 0                        | 0                        | 0                              |